

## INVESTOR AWARENESS

### NOTICE: UNCLAIMED DIVIDENDS AND CASH HELD IN DORMANT ACCOUNTS AT BROKERAGE FIRMS

As part of its efforts to pay shareholders of locally listed public joint-stock companies their unclaimed dividends prior to March 2015 or cash held in dormant accounts opened with brokerage firms, the Securities and Commodities Authority (SCA) is pleased to [welcome payment requests](#) to satisfy investor needs and ensure prompt services.

To do so, the following channels and procedures must be followed:

#### Payment of unclaimed dividends to shareholders of joint-stock companies

SCA has appointed First Abu Dhabi Bank (FAB) to handle the payment of unclaimed dividends.

Payment requests may be filed at FAB through any of the channels mentioned below:

- Send an email to [scaunclaimeddividends@bankfab.com](mailto:scaunclaimeddividends@bankfab.com).
- Call [02 6161800](tel:026161800).
- Visit any FAB branch across the UAE.

#### Required documents and information

Applicants must provide:

- A copy of their Emirates ID card (applicable to UAE nationals or residents).
- A copy of the passport (applicable to non-UAE nationals).
- A copy of the family book (applicable UAE nationals).
- A certificate, issued by the bank, with their account number and IBAN clearly mentioned.
- Share certificates, general assembly invitations, or any other documents evidencing ownership of shares and entitlement to dividends, if any.
- Their investor number (if applicable).
- Their contact information.

If the shareholder is a legal entity, a copy of the following must be provided:

- Commercial license.
- Authorised signature letter.
- Passport and ID card of the authorised signatory.

If the applicant is acting as an agent for the shareholder or their heirs, a Power of Attorney or Succession Certificate, issued and approved by the relevant UAE authorities, must also be provided. In case the Power of Attorney is issued abroad, it must be notarised by the UAE Embassy and the Ministry of Foreign Affairs of both UAE and the issuing country.

#### Payment of cash held in dormant accounts at existing licensed brokerage firms

##### Required documents and information

Applicants should submit their request to the brokerage firm, along with the following:

- A copy of their Emirates ID card (applicable to UAE nationals or residents).
- A copy of the passport (applicable to non-UAE nationals).
- A certificate, issued by the bank, with their account number and IBAN clearly mentioned.



- Account statement from the firm or relevant market (or any other document indicating the cash owed, if applicable).
- A copy of the account opening agreement signed with the firm, if applicable.
- Their investor number.
- Their contact information.

If the shareholder is a legal entity, a copy of the following must be provided:

- Commercial license.
- Authorised signature letter.
- Passport and ID card of the authorised signatory.

If the applicant is acting as an agent for the shareholder or their heirs, a Power of Attorney or Succession Certificate, issued and approved by the relevant UAE authorities, must also be provided. In case the Power of Attorney is issued abroad, it must be notarised by the UAE Embassy and the Ministry of Foreign Affairs of both UAE and the issuing country.

### Transfer of dividends

The paying agent will transfer the dividends to the accountholder within 5 business days following receipt of all required documents and information. An SMS will also be sent to the accountholder (on the number provided) to notify them of the dividend transfer.

### What to know

- The firm will determine the availability of the cash owed to the investor, who will then forward the request to the SCA.
- SCA will review the request and transfer the dividends to the investor within 5 business days after receiving all required documents and information.

### For brokerage firms whose license was revoked or operations shut down

The investor must use the following channels to submit the request to the SCA, along with all the documents and information mentioned above:

- Visit SCA's website, [www.sca.gov.ae](http://www.sca.gov.ae).
- Send an email to [dsc@sca.ae](mailto:dsc@sca.ae).

Call SCA's helpline at [800 SCAUAE \(722823\)](tel:800-SCAUAE).